

# ON THE UNIVALENCE OF A CERTAIN INTEGRAL

BY  
MAMORU NUNOKAWA

1. **Introduction.** Let  $S$  be the class of functions  $f(z)$  regular, univalent in  $|z| < 1$  and normalized by  $f(0)=0, f'(0)=1$ . On the other hand, let  $S^*$  and  $K$  be the subclass of  $S$  starlike and convex functions respectively.

It is well known that a function  $f(z) \in S$  belongs to  $S^*$  if and only if

$$\operatorname{Re} (zf'(z)/f(z)) > 0 \quad \text{in } |z| < 1$$

and a function  $f(z) \in S$  belongs to  $K$  if and only if

$$1 + \operatorname{Re} (zf''(z)/f'(z)) > 0 \quad \text{in } |z| < 1.$$

In the recent papers [2], [3], [9], [11], for the univalence of the functions

$$g(z) = \int_0^z \left( \frac{f(t)}{t} \right)^\alpha dt \quad \text{and} \quad g(z) = \int_0^z (f'(t))^\alpha dt$$

was studied.

For instance, the following theorems are obtained in [2], [9], [11].

**THEOREM A.** *If  $f(z)$  belongs to  $S$  and is close-to-convex, then*

$$g(z) = \int_0^z (f'(t))^\alpha dt$$

*belongs to  $S$  for  $0 \leq \alpha \leq 1$ .*

**THEOREM B.** *Suppose  $f(z) \in S$  is close-to-convex. Then*

$$g(z) = \int_0^z \left( \frac{f(t)}{t} \right)^\alpha dt$$

*belongs to  $S$  for  $0 \leq \alpha \leq 1$ .*

**THEOREM C.** *Let  $f(z) \in S$  and*

$$g(z) = \int_0^z \left( \frac{f(t)}{t} \right)^\alpha dt.$$

*Then  $g(z) \in S$  for  $0 \leq \alpha \leq ((1025)^{1/2} - 25)/100$ .*

In this paper we improve Theorem C and others.

## 2. The main theorems.

LEMMA 1. Let  $f(z) = z + a_2 z^2 + \dots$  be regular in  $|z| < 1$ . If  $f(z)$  satisfies

$$1 + \operatorname{Re} \frac{zf''(z)}{f'(z)} > -\frac{1}{2} \quad \text{in } |z| < 1,$$

then  $f(z)$  is univalent in  $|z| < 1$ .

We owe this lemma to Ozaki [10], [13].

THEOREM 1. Let

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \in S^*$$

and

$$g(z) = \int_0^z \left( \frac{f(t)}{t} \right)^\alpha dt.$$

Then  $g(z) \notin S$  for  $0 \leq \alpha \leq 1.5$  but for  $\alpha_0 < \alpha$ , there exists a function  $f(z) \in S^*$  such that  $g(z) \in S$  where  $\alpha_0$  is the smallest positive root of the equation

$$\alpha(2\alpha+1)(\alpha+1) - 24 = 0.$$

**Proof.** It follows that

$$1 + zg''(z)/g'(z) = 1 + \alpha(zf'(z)/f(z) - 1).$$

Letting  $0 < \alpha \leq 1.5$  we have

$$1 + \operatorname{Re} \frac{zg''(z)}{g'(z)} = 1 - \alpha + \alpha \operatorname{Re} \frac{zf'(z)}{f(z)} > 1 - \alpha \geq -\frac{1}{2}.$$

Therefore we have that  $g(z) \in S$  for  $0 \leq \alpha \leq 1.5$ . On the other hand, if we let  $f(z) = z/(1-z)^2 \in S^*$  and  $g(z) \in S$ , then we must have from [4, p. 2] and [5, p. 134]

$$g'(z) = \frac{1}{(1-z)^{2\alpha}} = 1 + 2\alpha z + \frac{2\alpha(2\alpha+1)}{2!} z^2 + \frac{2\alpha(2\alpha+1)(2\alpha+2)}{3!} z^3 + \dots$$

and therefore

$$(1) \quad |2\alpha| \leq 2^2, \quad \left| \frac{2\alpha(2\alpha+1)}{2!} \right| \leq 3^2$$

and

$$\left| \frac{2\alpha(2\alpha+1)(2\alpha+2)}{3!} \right| \leq 4^2.$$

Letting  $\alpha_0$  be a positive real number, we must have the following inequality from (1):

$$0 < \alpha \leq \alpha_0 < \frac{(73)^{1/2} - 1}{4} < 2$$

where  $\alpha_0$  is the smallest positive root of the equation

$$\alpha(2\alpha+1)(\alpha+1)-24=0.$$

This completes our proof.

**THEOREM 2.** *Let*

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \in K$$

and

$$g(z) = \int_0^z \left( \frac{f(t)}{t} \right)^{\alpha} dt.$$

Then  $g(z) \in S$  for  $0 \leq \alpha \leq 3$  but for  $\alpha_1 < \alpha$ , there exists a function  $f(z) \in K$  such that  $g(z) \notin S$  where  $\alpha_1$  is the smallest positive root of the equation  $\alpha(\alpha+1)(\alpha+2)-96=0$ .

**Proof.** It is well known [6], [12] that

$$\operatorname{Re} \frac{zf'(z)}{f(z)} > \frac{1}{2} \quad \text{in } |z| < 1.$$

Applying the same method as in the proof of Theorem 1 we have

$$1 + \operatorname{Re} \frac{zg''(z)}{g'(z)} = 1 - \alpha + \operatorname{Re} \alpha \frac{zf'(z)}{f(z)} > 1 - \alpha + \frac{1}{2} \alpha \geq -\frac{1}{2}$$

if  $0 < \alpha \leq 3$ . Therefore  $g(z) \in S$  for  $0 \leq \alpha \leq 3$ .

Putting  $f(z) = z/(1-z) \in K$  and  $g(z) \in S$ , then we have

$$g'(z) = \frac{1}{(1-z)^{\alpha}} = 1 + \alpha z + \frac{\alpha(\alpha+1)}{2!} z^2 + \frac{\alpha(\alpha+1)(\alpha+2)}{3!} z^3 + \dots$$

and therefore we have also as in the proof of Theorem 1

$$(2) \quad |\alpha| \leq 2^2, \quad \left| \frac{\alpha(\alpha+1)}{2!} \right| \leq 3^2$$

and

$$\left| \frac{\alpha(\alpha+1)(\alpha+2)}{3!} \right| \leq 4^2.$$

Letting  $\alpha$  be a positive real number, we must have from (2) the following

$$0 < \alpha \leq \alpha_1 < ((72)^{1/2} - 1)/2 < 4$$

where  $\alpha_1$  is the smallest positive root of the equation

$$\alpha(\alpha+1)(\alpha+2)-96=0.$$

This completes our proof and Theorem 2 is a stronger result than [9, Theorem 4].

THEOREM 3. *Let*

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \in K$$

and

$$g(z) = \int_0^z (f'(t))^{\alpha} dt.$$

Then  $g(z) \in S$  for  $0 \leq \alpha \leq 1.5$  but for  $\alpha_0 < \alpha$ , there exists a function  $f(z) \in K$  such that  $g(z) \notin S$  where  $\alpha_0$  is the smallest positive root of the equation

$$\alpha(2\alpha + 1)(\alpha + 1) - 24 = 0.$$

**Proof.** We have

$$1 + \frac{zg''(z)}{g'(z)} = 1 + \alpha \frac{zf''(z)}{f'(z)}$$

and so

$$\begin{aligned} 1 + \operatorname{Re} \frac{zg''(z)}{g'(z)} &= 1 - \alpha + \operatorname{Re} \alpha \left( 1 + \frac{zf''(z)}{f'(z)} \right) \\ &> 1 - \alpha \geq -\frac{1}{2} \end{aligned}$$

if  $0 < \alpha \leq 1.5$ .

Therefore  $g(z) \in S$  if  $0 \leq \alpha \leq 1.5$  and this is a stronger result than [9, Theorem 3].

Putting  $f(z) = z/(1-z) \in K$  and  $g(z) \in S$ , then we have  $g'(z) = 1/(1-z)^{2\alpha}$ .

By the same reason as in the proof of Theorem 1 we can complete our proof.

THEOREM 4. *Let*

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n$$

be regular in  $|z| < 1$ ,  $\operatorname{Re} f'(z) > 0$  and

$$g(z) = \int_0^z (f'(t))^{\alpha} dt.$$

Then  $g(z) \in S$  for  $-1 \leq \alpha \leq 1$ .

**Proof.** It follows that

$$\operatorname{Re} g'(z) = \operatorname{Re} (f'(z))^{\alpha} > 0 \quad \text{in } |z| < 1$$

if  $-1 \leq \alpha \leq 1$ .

By Noshiro [8] we have  $g(z) \in S$  for  $-1 \leq \alpha \leq 1$ .

LEMMA 2. *Let*

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n$$

be regular in  $|z| < 1$  and

$$|\{f, z\}| < \frac{2}{(1-r^2)^2}$$

for all  $z$ ,  $|z| = r < 1$ , where

$$\{f, z\} = \left(\frac{f''(z)}{f'(z)}\right)' - \frac{1}{2} \left(\frac{f''(z)}{f'(z)}\right)^2$$

is the Schwarzian derivative. Then  $f(z)$  is univalent in  $|z| < 1$ .

The proof of this lemma can be found in [7].

LEMMA 3. If  $f(z)$  is regular in  $|z| < 1$ ,  $f(0) = 0$  and satisfying  $|f(z)| < 1$  there, then

$$|f'(z)| < 1 \quad \text{or} \quad |f'(z)| < \frac{(1+|z|^2)^2}{4|z|(1-|z|^2)}$$

according as

$$|z| < \sqrt{2}-1 \quad \text{or} \quad \sqrt{2}-1 \leq |z| < 1.$$

These bounds are sharp.

A proof of this lemma can be found in [1].

THEOREM 5. Let

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \in S$$

and

$$g(z) = \int_0^z \left(\frac{f(t)}{t}\right)^{\alpha} dt.$$

Then  $g(z) \in S$  for  $0 \leq \alpha \leq \alpha_2$  where  $\alpha_2$  is the smallest positive root of the simultaneous equations (5) and

$$\frac{(18425)^{1/2} - 75}{800} < \alpha_2 < \frac{(24841)^{1/2} - 125}{384}.$$

**Proof.** Let  $\alpha$  be a positive real number and

$$F(z) = g''(z)/g'(z).$$

Then  $F(z)$  is regular in  $|z| < 1$  and we have also from [9, p. 396]

$$|F(z)| < 8\alpha \quad \text{in} \quad |z| < \frac{1}{2}.$$

Let

$$G(z) = \{F(z/2) - F(0)\}/10\alpha \quad \text{in} \quad |z| < 1.$$

Applying Lemma 3 and the same method as in the proof of [9] we have

$$|G'(z)| = \frac{1}{20\alpha} \left| F'\left(\frac{z}{2}\right) \right| \leq \frac{(1+\rho^2)^2}{4\rho(1-\rho^2)} \quad \text{in} \quad \sqrt{2}-1 \leq |z| \leq \rho < 1.$$

From the maximum principle we have

$$|F'(z)| \leq \frac{5\alpha(1+\rho^2)^2}{\rho(1-\rho^2)} \quad \text{in} \quad |z| \leq \frac{\rho}{2}.$$

Hence we get

$$(3) \quad \begin{aligned} |\{g, z\}| &\leq \left| \left( \frac{g''(z)}{g'(z)} \right)' \right| + \frac{1}{2} \left| \left( \frac{g''(z)}{g'(z)} \right) \right|^2 \\ &= |F'(z)| + \frac{1}{2} |F(z)|^2 \leq \left\{ 32\alpha^2 + \frac{5\alpha(1+\rho^2)^2}{\rho(1-\rho^2)} \right\} / (1-r^2)^2 \quad \text{in } |z| = r \leq \frac{\rho}{2}. \end{aligned}$$

In  $\rho/2 \leq |z| = r < 1$  we have from [9, p. 397]

$$\begin{aligned} |F'(z)| &\leq \frac{2\alpha}{r(1-r)(1-\sqrt{r})} \\ &= \frac{2\alpha(1+r)^2(1+\sqrt{r})}{r(1-r^2)^2} \\ &< \frac{2\alpha}{(1-r^2)^2} \cdot \left\{ 2\left(1+\frac{\rho}{2}\right)^2 \left(1+\left(\frac{\rho}{2}\right)^{1/2}\right) \rho^{-1} \right\} \end{aligned}$$

and

$$\begin{aligned} |F(z)| &\leq \frac{2\alpha}{r(1-r)} \\ &= \frac{2\alpha(1+r)}{r(1-r^2)} \\ &< \frac{2\alpha}{(1-r^2)} \left(1+\frac{2}{\rho}\right). \end{aligned}$$

Therefore we have

$$(4) \quad \begin{aligned} |\{g, z\}| &\leq \frac{2\alpha}{(1-r^2)^2} \{2(1+\rho/2)^2(1+(\rho/2)^{1/2})\rho^{-1}\} + \frac{4\alpha^2}{(1-r^2)^2} (1+2/\rho)^2 \\ &= \{4\alpha^2(1+2/\rho)^2 + 4\alpha(1+\rho/2)^2(1+(\rho/2)^{1/2})\rho^{-1}\} / (1-r^2)^2 \\ &\quad \text{in } \rho/2 \leq |z| < 1. \end{aligned}$$

Putting

$$(5) \quad \begin{aligned} 32\alpha^2 + \frac{5\alpha(1+\rho^2)^2}{\rho(1-\rho^2)} &= 4\alpha^2(1+2/\rho)^2 + 4\alpha(1+\rho/2)^2(1+(\rho/2)^{1/2})\rho^{-1} \\ &= 2 \end{aligned}$$

and

$$\sqrt{2}-1 \leq \rho < 1.$$

Let  $\alpha_2$  be the smallest positive root of the simultaneous equations (5).

Then we have

$$|\{g, z\}| \leq \frac{2}{(1-r^2)^2} \quad \text{in } |z| = r < 1$$

if  $0 < \alpha < \alpha_2$ .

From Lemma 2 we have  $g(z) \in S$  for  $0 \leq \alpha \leq \alpha_2$ . (For the case  $\alpha=0$ , Theorem 5 is trivial.)

It can be verified that

$$\frac{(18425)^{1/2} - 75}{800} < \alpha_2 < \frac{(24841)^{1/2} - 125}{384}.$$

REMARK. If we put  $\rho = \frac{1}{2}$  in (3) and (4) we have

$$|\{g, z\}| \leq \frac{2}{(1-r^2)^2} \quad \text{in } |z| = r < \frac{1}{4}$$

if

$$0 \leq \alpha \leq \frac{(24841)^{1/2} - 125}{384}$$

and

$$|\{g, z\}| \leq \frac{2}{(1-r^2)^2} \quad \text{in } \frac{1}{4} \leq |z| = r < 1$$

if

$$0 \leq \alpha \leq \frac{(18425)^{1/2} - 75}{800}.$$

Therefore we have  $g(z) \in S$  for at least

$$0 \leq \alpha \leq \frac{(18425)^{1/2} - 75}{800}.$$

This is an improvement of [9, Theorem 1].

The author would like to acknowledge helpful suggestions made by Professor W. M. Causey.

#### REFERENCES

1. C. Carathéodory, *Theory of functions of a complex variable*, Vol. 2, Chelsea, New York, 1954.
2. W. M. Causey, *The close-to-convex and univalence of an integral*, Math. Z. **99** (1967), 207-212.
3. P. L. Duren, H. S. Shapiro and A. L. Shields, *Singular measures and domains not of Smirnov type*, Duke Math. J. **33** (1966), 247-254.
4. P. R. Garabedian and M. Schiffer, *A proof of the Bieberbach conjecture for the fourth coefficient*, J. Rational Mech. Anal. **4** (1955), 427-465.
5. W. K. Hayman, *Multivalent functions*, Cambridge Univ. Press, Cambridge, 1958.
6. A. Marx, *Untersuchungen über schlichte Abbildungen*, Math. Ann. **107** (1932), 40-67.
7. Z. Nehari, *The Schwarzian derivative and schlicht functions*, Bull. Amer. Math. Soc. **55** (1949), 545-551.
8. K. Noshiro, *On the univalence of certain analytic functions*, J. Fac. Sci. Hokkaido Univ. Ser. I **2** (1932), 89-101.
9. M. Nunokawa, *On the univalence and multivalence of certain analytic functions*, Math. Z. **104** (1968), 394-404.
10. S. Ozaki, *On the theory of multivalent functions. II*, Sci. Rep. Tokyo Bunrika Daigaku **4** (1941), 45-86.
11. W. C. Royster, *On the univalence of a certain integral*, Michigan Math. J. **12** (1965), 385-387.

12. E. Strohäcker, *Beiträge zur Theorie der schlichten Funktionen*, Math. Z. **37** (1933), 356–380.
13. T. Umezawa, *Analytic functions convex in one direction*, J. Math. Soc. Japan **4** (1952), 194–202.

GUNMA UNIVERSITY,  
MAEBASHI, JAPAN